

Energies of dilute Fermi gases

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Many-body quantum system with N particles in large box $\Lambda = [0, L]^3$ and Hamiltonian

$$H_N = \sum_{j=1}^N -\Delta_{x_j} + \sum_{1 \leq i < j \leq N} V(x_i - x_j).$$

- Kinetic energy: Laplacian $-\frac{\hbar^2}{2m} \Delta_{x_j} = -\Delta_{x_j}$. (Units with $\hbar = 2m = 1$.)
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I.e. energy in state $\psi_N \in L^2([0, L]^{3N})$ is

$$\langle \psi_N | H_N | \psi_N \rangle = \int \cdots \int_{[0, L]^{3N}} \left[\sum_{j=1}^N |\nabla_{x_j} \psi_N(x_1, \dots, x_N)|^2 + \sum_{1 \leq j < k \leq N} V(x_j - x_k) |\psi_N(x_1, \dots, x_N)|^2 \right] dx_1 \dots dx_N.$$

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We consider the **ground state energy**

$$E_N = \inf_{\psi_N: \|\psi_N\|_{L^2} = 1} \langle \psi_N | H_N | \psi_N \rangle,$$

Appropriate wave functions ψ_N depend on particle statistics.

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- **Bosons:** Symmetric wave functions:

$$\psi_N(x_1, \dots, x_j, \dots, x_k, \dots, x_N) = \psi_N(x_1, \dots, x_k, \dots, x_j, \dots, x_N)$$

- **Spin-polarized / Spinless Fermi gas:** Anti-symmetric wave functions:

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Can be realized experimentally using Feshbach resonances (for e.g. ${}^6\text{Li}$, ${}^{40}\text{K}$):
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- **Spin- $\frac{q-1}{2}$ Fermi gas:** Replace coordinate $x \rightarrow z = (x, \sigma)$ with spin $\sigma = 1, \dots, q$.

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We consider ground state energy density in thermodynamic limit

$$e(\rho) = \lim_{\substack{L \rightarrow \infty \\ N/L^3 \rightarrow \rho}} \inf_{\substack{\psi_N \text{ appropriate statistics} \\ \|\psi_N\|_{L^2}=1}} \frac{\langle \psi_N | H_N | \psi_N \rangle}{L^3},$$

Dilute regime \rightarrow Scattering lengths

Dilute limit: Interparticle spacing $\rho^{-1/3}$ large compared to lengthscale of interaction.

(Think, V has finite range R_0 , then $\rho^{-1/3} \gg R_0$, i.e.; $\rho R_0^3 \ll 1$.)

\rightsquigarrow Expect only pairwise interactions (to leading order)

\rightsquigarrow Study minimal energy of two particles in large box $\Lambda = [0, L]^3$ with

- ▶ no symmetry (bosons or fermions of different spin) \rightsquigarrow **s-wave**: $E \simeq \frac{8\pi a_s}{L^3}$
- ▶ fermionic symmetry (fermions of same spin) \rightsquigarrow **p-wave**: $E \simeq \frac{4\pi^2}{L^2} + \frac{16\pi^3 a_p^3}{L^5}$

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Definition

The **s-** and **p-wave** scattering lengths a_s and a_p are defined by

$$4\pi a_s = \inf \left\{ \int_{\mathbb{R}^3} \left(|\nabla f|^2 + \frac{1}{2} V f^2 \right) dx : f(x) \rightarrow 1 \text{ for } |x| \rightarrow \infty \right\},$$
$$12\pi a_p^3 = \inf \left\{ \int_{\mathbb{R}^3} \left(|\nabla f|^2 + \frac{1}{2} V f^2 \right) |x|^2 dx : f(x) \rightarrow 1 \text{ for } |x| \rightarrow \infty \right\}.$$

$$8\pi a_s = \int_{\mathbb{R}^3} f_s V \approx \int_{\mathbb{R}^3} V, \quad 24\pi a_p^3 = \int_{\mathbb{R}^3} |x|^2 f_p V \approx \int_{\mathbb{R}^3} |x|^2 V \quad \text{for smooth and small } V.$$

Two particles in a (periodic) box

Non-interacting ground state: (Easy exercise)

$$\psi_0(x, y) = \begin{cases} \frac{1}{L^3} & \text{for different spins,} \\ \frac{2}{L^3} \cos\left(\frac{2\pi}{L} \frac{x^1 + y^1}{2}\right) \sin\left(\frac{\pi}{L}(x^1 - y^1)\right) & \text{for same spins,} \end{cases}$$

Interacting ground state is of form $\psi(x, y) = f(x - y)\psi_0(x, y)$ for some f . (By translation invariance)

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The interacting ground state is given by the non-interacting ground state multiplied by the scattering function $f_{s/p}$.

Asymptotic energy expansions

Theorem (Spin- $\frac{q-1}{2}$ Fermi gas (Lieb–Seiringer–Solovej 2005))

Let $V \geq 0$ be radial and of compact support (finite range). Then,

$$e(\rho) = \frac{3}{5} \left(\frac{6\pi^2}{q} \right)^{2/3} \rho^{5/3} + 4\pi a_s \rho^2 \left(1 - \frac{1}{q} \right) (1 + o(1)), \quad a_s^3 \rho \ll 1.$$

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- Kinetic energy of free gas.

- Interaction energy.

- ▶ Energy of one pair of different spins is $8\pi a_s/L^3$. (Definition of a_s)

- ▶ $\sum_{1 \leq \sigma < \tau \leq q} N_\sigma N_\tau$ many such pairs (= $N_\uparrow N_\downarrow$ in spin- $\frac{1}{2}$ case)

- ▶ Balance of spins: $\rho_\sigma = \frac{\rho}{q}$. ($\rho_\uparrow = \rho_\downarrow = \frac{\rho}{2}$ for spin- $\frac{1}{2}$ case)

\leadsto Energy density $\sum_{1 \leq \sigma < \tau \leq q} 8\pi a_s \rho_\sigma \rho_\tau = \frac{q(q-1)}{2} 8\pi a_s \left(\frac{\rho}{q}\right)^2 = 4\pi a_s \rho^2 \left(1 - \frac{1}{q}\right)$.

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Theorem (Spinless Fermi gas (L.–Seiringer 2024))

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$$e(\rho) = \frac{3}{5} (6\pi^2)^{2/3} \rho^{5/3} + \frac{12\pi}{5} (6\pi)^{2/3} a_p^3 \rho^{8/3} (1 + o(1)), \quad a_p^3 \rho \ll 1.$$

1st order perturbation theory — Naive $a^3\rho^{8/3}$

- Take trial state ψ_F ground state of free gas: (easy calculation)

$$\lim_{\substack{N, L \rightarrow \infty \\ N/L^3 = \rho}} \frac{\langle \psi_F | H_N | \psi_F \rangle}{L^3} = \frac{3}{5} (6\pi^2)^{2/3} \rho^{5/3} + \frac{1}{2} \int_{\mathbb{R}^3} \rho^{(2)}(x, 0) V(x) dx.$$

- Taylor expand $\rho^{(2)}(x, 0) \simeq \frac{(6\pi^2)^{2/3}}{5} \rho^{8/3} |x|^2$ and use $\int_{\mathbb{R}^3} |x|^2 V(x) dx \approx 24\pi a_p^3$

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Need to treat interactions more precisely

Recall from two-particle problem:

The interacting ground state is given by the non-interacting ground state multiplied by the scattering function $f_{s/p}$.

↪ Use f_p to construct better trial state.

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$$\psi_N(x_1, \dots, x_N) = \frac{1}{\sqrt{C_N}} \prod_{1 \leq i < j \leq N} f_p(x_i - x_j) D_N(x_1, \dots, x_N)$$

D_N Slater determinant (think free ground state ψ_F), C_N normalization constant.

Cluster expansion

Jastrow-type trial state

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Compute energy (easy calculation)

$$\langle \psi_N | H_N | \psi_N \rangle = E_0 + \iint_{[0, L]^6} \rho_{\text{Jas}}^{(2)}(x_1, x_2) \left(\left| \frac{\nabla f_p(x_1 - x_2)}{f_p(x_1 - x_2)} \right|^2 + \frac{1}{2} V(x_1 - x_2) \right) dx_1 dx_2$$

+ 3-body term

E_0 kinetic energy of D_N , $\rho_{\text{Jas}}^{(2)}$ the 2-particle density.

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Think $\rho_{\text{Jas}}^{(2)}(x_1, x_2) \simeq f_p(x_1 - x_2)^2 \rho^{(2)}(x_1, x_2)$. (Recall $12\pi a_p^3 = \int_{\mathbb{R}^3} (|\nabla f_p|^2 + \frac{1}{2} V f_p^2) |x|^2 dx$.)

Compute $\rho_{\text{Jas}}^{(2)} \rightsquigarrow$ **Cluster expansion**: Way of calculating $\rho_{\text{Jas}}^{(n)}$. (Formal calculations of Gaudin–Gillespie–Ripka 1971.) (Hard) combinatorics problem.