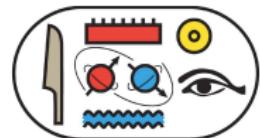


# The Quantum Carnot engine

Ronnie Kosloff

Institute of Chemistry, Hebrew University Jerusalem,  
Israel The Fritz Haber Center for Molecular Dynamics



Carnot Workshop on Quantum Thermodynamics  
and Open Quantum Systems

Monday, November 25 2024



RÉFLEXIONS  
SUR LA  
PIUSSANCE MOTRICE  
DU FEU  
  
ET  
  
SUR LES MACHINES  
PROPRÉS A DÉVELOPPER CETTE PIUSSANCE.  
  
PAR S. CARNOT,  
ANCIEN ÉLÈVE DE L'ÉCOLE POLYTECHNIQUE.

# Learning from Example



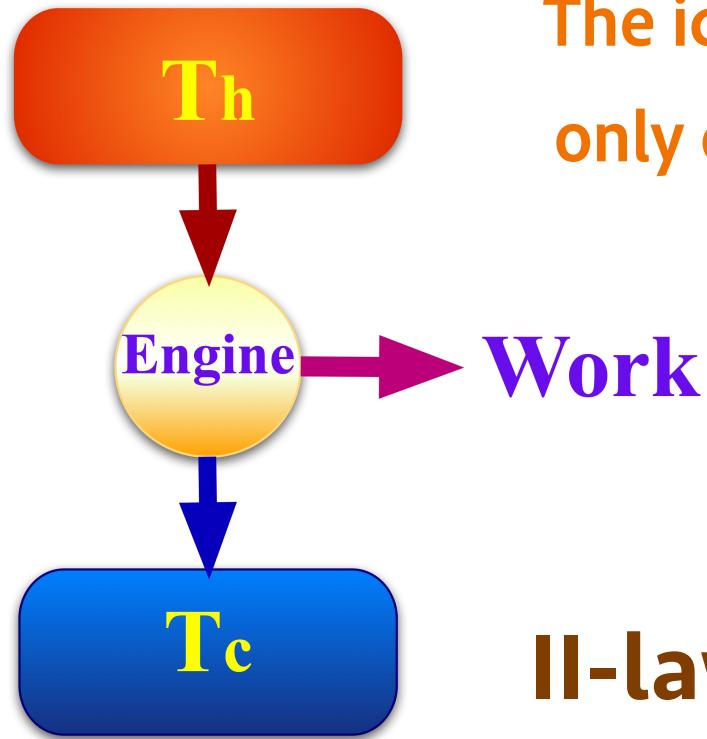
From the impossibility of perpetual motion, S. Carnot deduced that the maximum efficiency of an engine exchanging heat with two sources only depends on the temperatures of these sources. He wrote:

"La puissance motrice de la chaleur est indépendante des agents mis en œuvre pour la réaliser; sa quantité est fixée uniquement par les températures des corps entre lesquels se fait, en dernier résultat, le transport du calorique" [25], or "The driving power of heat is independent of the agents used to realize it; its value is uniquely fixed by the temperatures of the bodies between which the transfer of caloric is made".

# Universality



# Carnot: The ideal heat engine is universal



The ideal engin's efficiency depends  
only on the temperatre ratio

$$\eta_C = 1 - \frac{T_c}{T_h}$$

II-law of thermodynamics

Entropy  $\Delta S > 0$

For an ideal reversible transformation the entropy change is zero

# Quantum Thermodynamics

*Any theory should be consistent with Thermodynamics*

6. Über einen  
die Erzeugung und Verwandlung des Lichtes  
betrreffenden heuristischen Gesichtspunkt;  
von A. Einstein.

Zwischen den theoretischen Vorstellungen, welche sich die Physiker über die Gase und andere ponderable Körper gebildet haben, und der Maxwellschen Theorie der elektro-

Doc. 14  
ON A HEURISTIC POINT OF VIEW CONCERNING THE PRODUCTION  
AND TRANSFORMATION OF LIGHT  
by A. Einstein  
[Annalen der Physik 17 (1905): 132-148]



Einstein 1905

$$E = h\nu$$

If we restrict ourselves to investigating the dependence of the entropy on the volume occupied by the radiation and denote the entropy of radiation by  $S_0$  when the latter occupies the volume  $v_0$ , we obtain

$$S - S_0 = \frac{E}{\beta\nu} \lg \left[ \frac{v}{v_0} \right] .$$

This equation shows that the entropy of a monochromatic radiation of sufficiently low density varies with the volume according to the same law as the entropy of an ideal gas or that of a dilute solution. The equation just

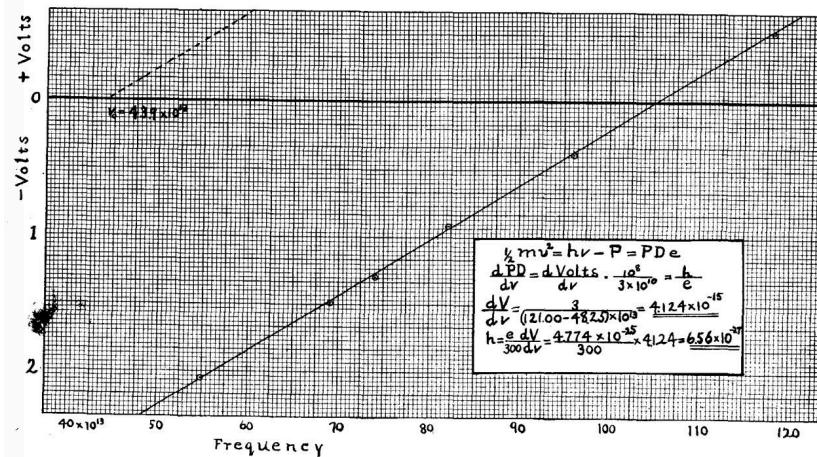
If monochromatic radiation of frequency  $\nu$  and energy  $E$  is enclosed (by reflecting walls) in the volume  $v_0$ , the probability that at a randomly chosen instant the entire radiation energy will be contained in the portion  $v$  of the volume  $v_0$  is

$$W = \left[ \frac{v}{v_0} \right]^{\frac{N}{R} \frac{E}{\beta\nu}}$$

From this we further conclude:

Monochromatic radiation of low density (within the range of validity of Wien's radiation formula) behaves thermodynamically as if it consisted of mutually independent energy quanta of magnitude  $R\beta\nu/N$ .

The paper is wrongly interpreted as the photoelectric effect



Einstein 1905

**E=hν**

# Quantum Thermodynamics

## Consistency



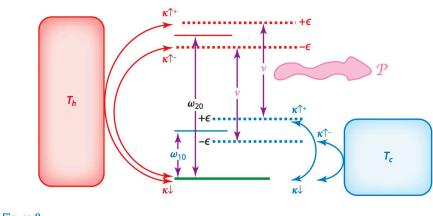
Emergence of Thermodynamics from quantum mechanics

Learning from example

Thermodynamic ideals

Can a Thermodynamical viewpoint be relevant to a single device at the quantum limit ?

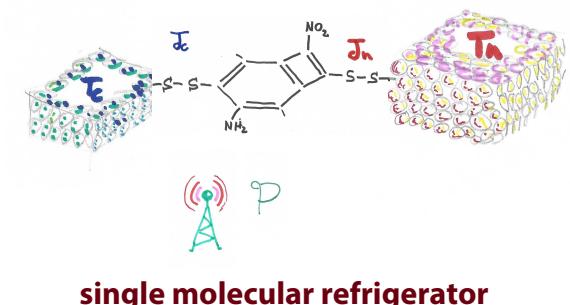
What is the limit of minaturization of a quantum heat engine ?



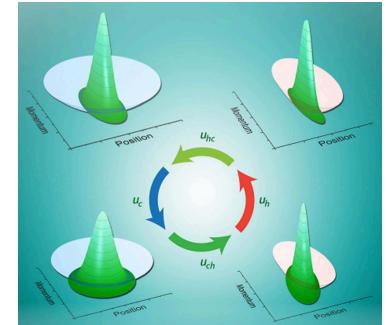
What is quantum in a quantum heat engine?

Is there quantum supremacy ?

Is a small quantum engine usefull?



single molecular refrigerator



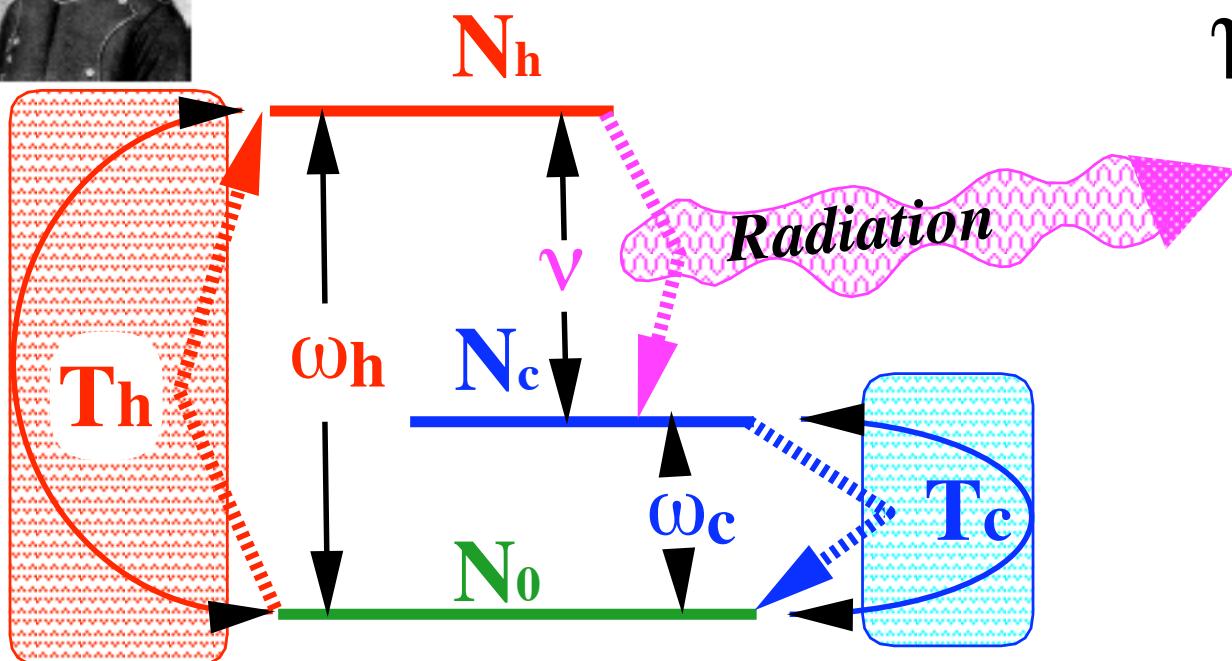
# How small a quantum engine can be



## What is quantum in quantum heat devices



# Carnot efficiency of a 3-level amplifier



$$\eta = \frac{\nu}{\omega_h} = \frac{\omega_h - \omega_c}{\omega_h}$$

$$G = N_h - N_c \geq 0$$

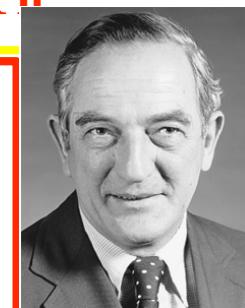
$$\frac{\hbar\omega_h}{kT_h} - \frac{\hbar\omega_c}{kT_c} \leq 0$$

$$\frac{\omega_c}{\omega_h} \leq \frac{T_c}{T_h}$$

$$N_c = N_0 e^{-\frac{\hbar\omega_c}{kT_c}}$$

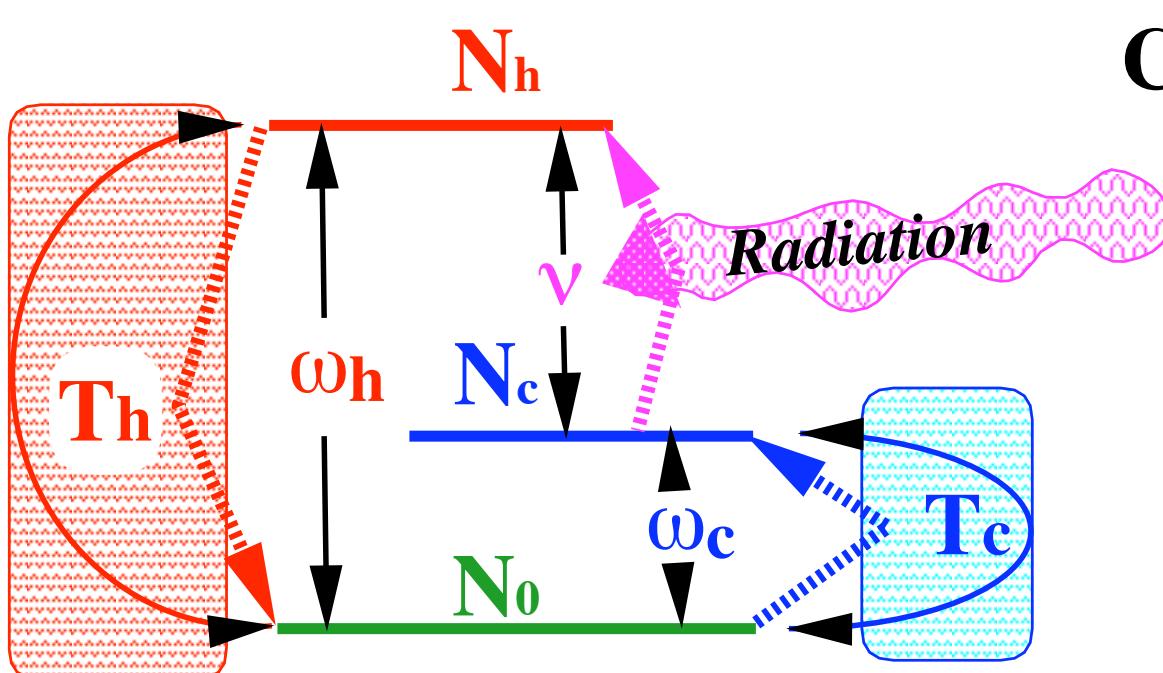
$$N_h = N_0 e^{-\frac{\hbar\omega_h}{kT_h}}$$

$$\eta = 1 - \frac{\omega_c}{\omega_h} \leq 1 - \frac{T_c}{T_h}$$



# Laser Cooling reversing the 3-level amplifier

Geusic J, Bois E, De Grasse R, Scovil H. J. App. Phys. 30:1113(1959)



$$\text{COP} = \frac{\omega_c}{\nu} = \frac{\omega_c}{\omega_h - \omega_c}$$

$$G = N_h - N_c \leq 0$$

$$\frac{\hbar\omega_h}{kT_h} - \frac{\hbar\omega_c}{kT_c} \leq 0$$

$$\frac{\omega_c}{\omega_h} \geq \frac{T_c}{T_h}$$

$$N_c = N_0 e^{-\frac{\hbar\omega_c}{kT_c}}$$

$$T_c > \frac{\omega_c}{\omega_h} T_h$$

$$N_h = N_0 e^{-\frac{\hbar\omega_h}{kT_h}}$$

$$\text{COP} \approx \frac{T_c}{T_h}$$

D. J. Wineland and H. Dehmelt, Bull. Am. Phys. Soc. 20, 637 (1975); T. W. Hänsch and A. L. Schawlow, "Cooling of Gases by Laser Radiation," Opt. Commun. 13, 68 (1975).

# Quantum Thermodynamics

## Inserting Dynamics into Thermodynamics

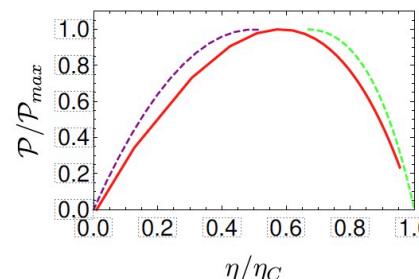


*Power or efficiency?*

$$\eta_{CA} = 1 - \sqrt{\frac{T_c}{T_h}}$$

Efficiency at maximum power

$$\Delta S^o > 0$$



$$\eta_c = 1 - \frac{T_c}{T_h}$$

Maximum efficiency

$$\Delta S^o = 0$$

# Reciprocating heat engines



## Learning from example

How small can an engine be?

What is the role of coherence?

Coherent control by interference of pathways?

## Thermodynamic analysis of quantum error correcting engines

Gabriel T. Landi,<sup>1,\*</sup> André L. Fonseca de Oliveira,<sup>2</sup> and Efrain Buksman<sup>2</sup>

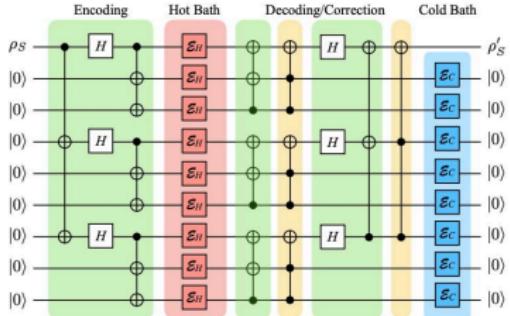
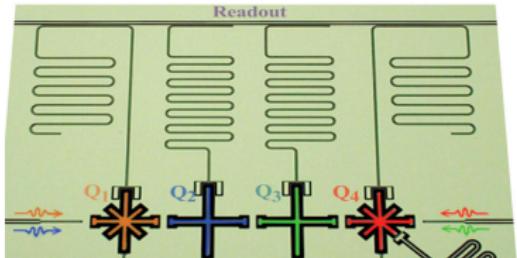


FIG. 4. Shor's -qubit code, capable of correcting both the diagonal as well as the coherent parts of  $\rho_S$  against any kind of noise.



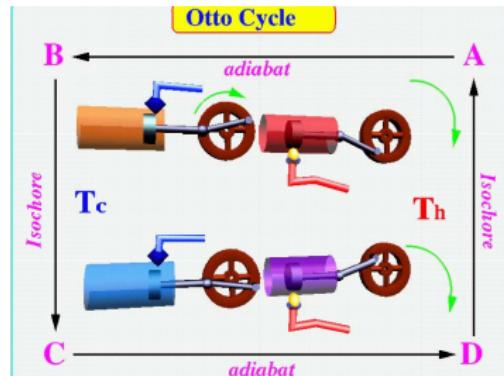
# Open system description of a heat engine

Propagator  $\hat{\rho}_f = \Lambda \hat{\rho}_i$

- ① Hot to cold adiabatic stroke  $\Lambda_{hc}$
- ② Cold isotherm  $\Lambda_c$       Cold isochore  $\bar{\Lambda}_c$
- ③ Cold to hot adiabatic stroke  $\Lambda_{ch}$
- ④ Hot isotherm  $\Lambda_h$       Hot isochore  $\bar{\Lambda}_h$

$$\Lambda_{\text{cyc}} = \Lambda_h \Lambda_{ch} \Lambda_c \Lambda_{hc}$$

Order matters  $[\Lambda_h, \Lambda_{ch}] \neq 0$



# Carnot cycle

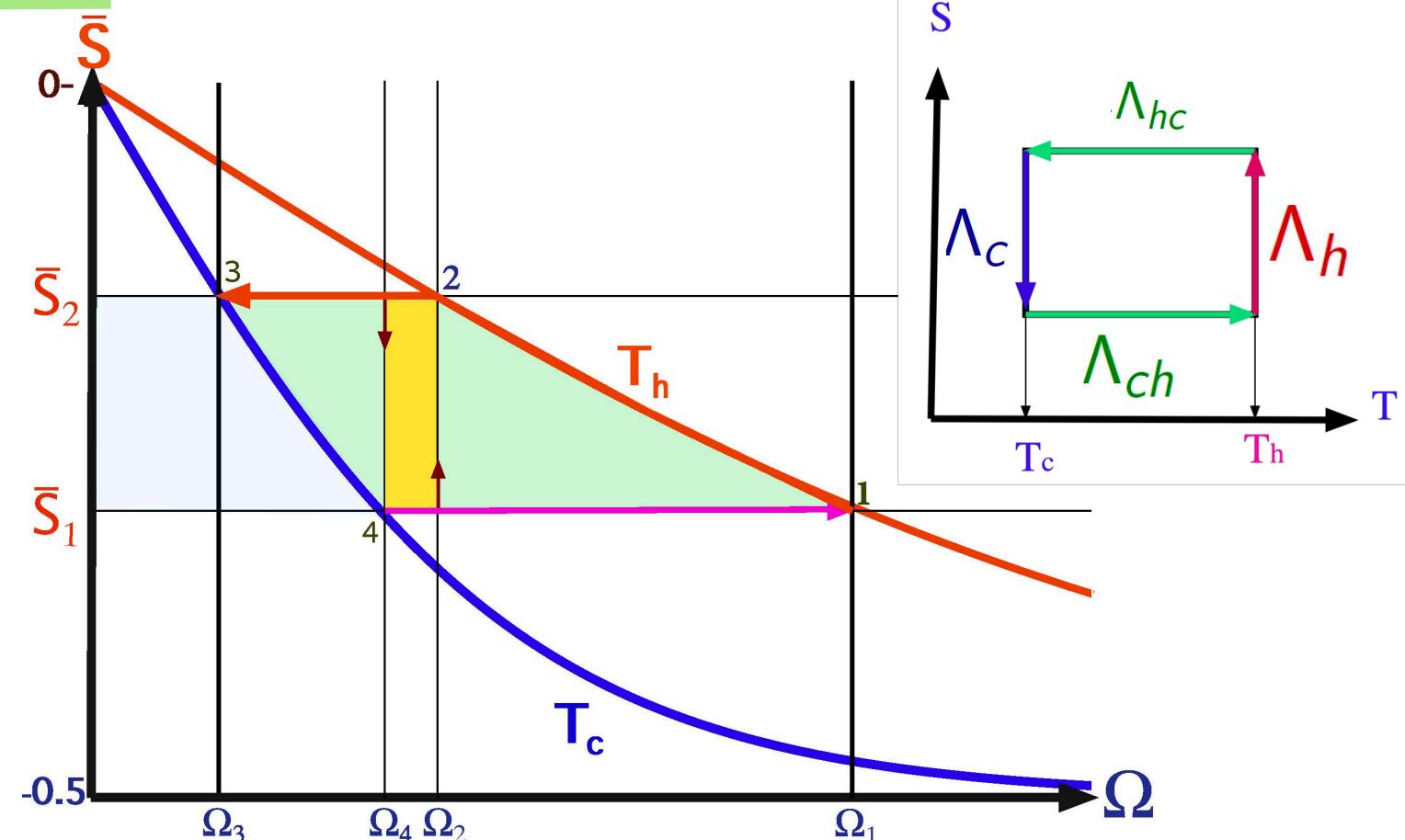
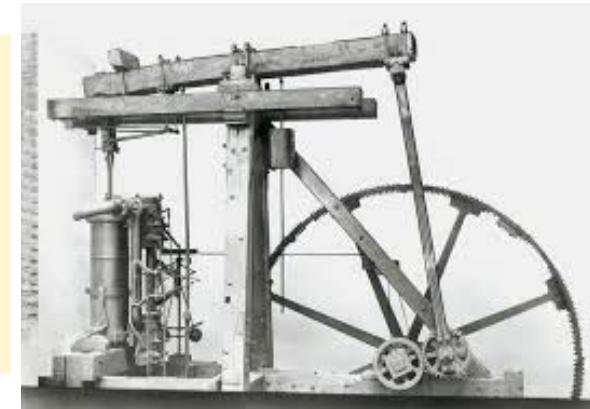
- 1 Hot to cold adiabatic stroke  $\Lambda_{hc}$
- 2 Cold isotherm  $\Lambda_c$
- 3 Cold to hot adiabatic stroke  $\Lambda_{ch}$
- 4 Hot isotherm  $\Lambda_h$

Carnot cycle:  
 $\Lambda_{cyc} = \Lambda_h \Lambda_{ch} \Lambda_c \Lambda_{hc}$

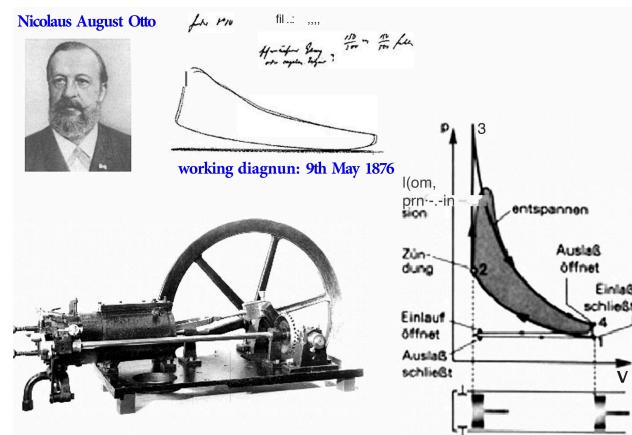
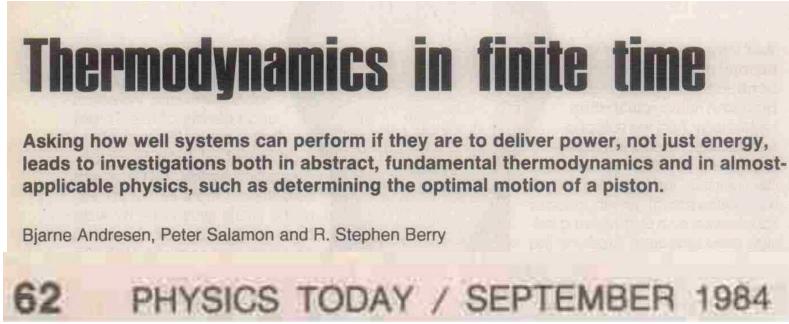
$$\Lambda_{cyc} \hat{\rho}_S = 1 \hat{\rho}_S$$

Operating conditions  
fixed point of CPTP map

$$\eta_C = 1 - \frac{T_c}{T_h}$$



# Performance characteristics of real life heat engines



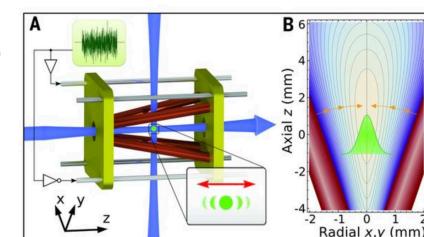
- 1) An engine operates at finite power.
- 2) The engine is limited by heat leaks.
- 3) The motion is subject to friction.

## The engine operates under irreversible conditions

### Do quantum engines have the same performance characteristics?

A single-atom heat engine

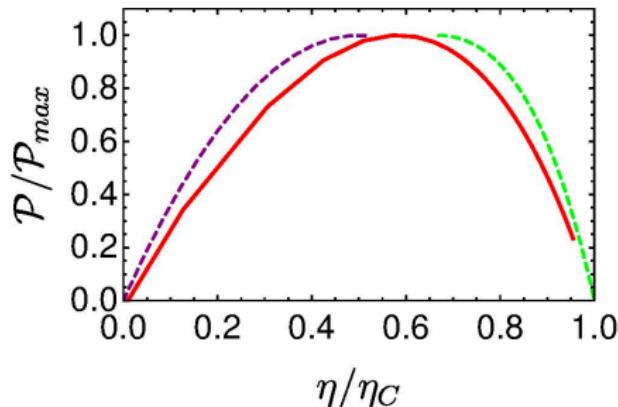
Johannes Roßnagel,<sup>1,\*</sup> Samuel T. Dawkins,<sup>1</sup> Karl N. Tolazzi,<sup>2</sup> Obinna Abah,<sup>2</sup> Eric Lutz,<sup>3</sup> Ferdinand Schmidt-Kaler,<sup>1</sup> Kilian Singer<sup>1,\*+</sup>



# Endoreversible qubit engine

Efficiency at Maximum power

$$\eta_{CA} = 1 - \sqrt{\frac{T_c}{T_h}}$$



Carnot cycle:

$$\eta_C = 1 - \frac{T_c}{T_h}$$

The work per reversible:

$$\mathcal{W}_C = k_B \Delta T \Delta \bar{S}_{v.r}$$

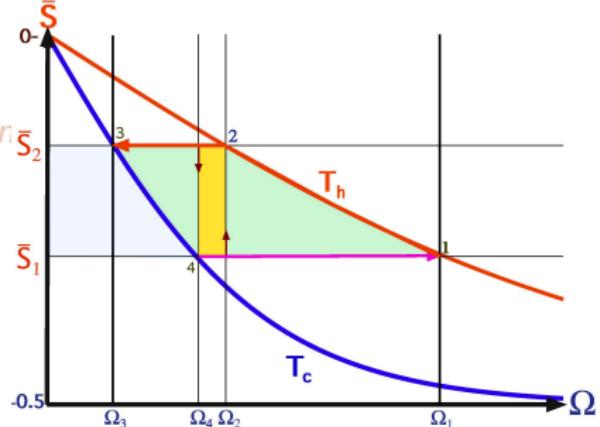
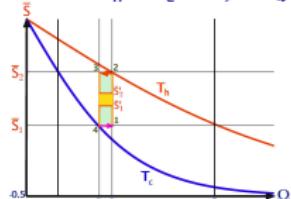
where  $\Delta T = T_h - T_c$

Otto cycle:

$$\mathcal{W}_{Otto} = \Delta \Omega \Delta \bar{S}$$

where  $\Delta \Omega = \Omega_h - \Omega_c = \Omega_2 - \Omega_4$  and  $\Delta S = \bar{S}_2 - \bar{S}_1$ .

$$\eta_{Otto} = 1 - \frac{\Omega_c}{\Omega_h}$$



# Carnot cycle

A quantum-mechanical heat engine operating in finite time. A model consisting of spin-1/2 systems as the working fluid

Eitan Geva and Ronnie Kosloff

Department of Physical Chemistry and The Fritz Haber Research Center for Molecular Dynamics,  
The Hebrew University, Jerusalem 91904, Israel

(Received 28 August 1991; accepted 21 October 1991)

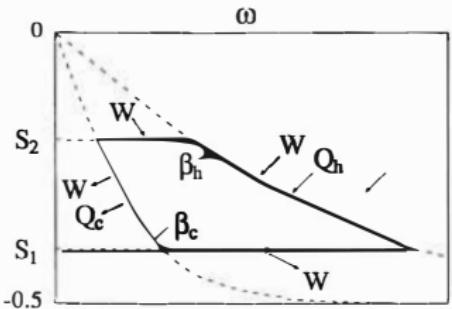


FIG. 1. The reversible Carnotcycle (solid line) in the  $(\omega, S)$  plane ( $\omega$  is the field and  $S$  the polarization). The cycle is composed of two reversible isotherms corresponding to the temperatures  $\beta_h$  and  $\beta_c$  ( $\beta_c > \beta_h$ ) and of two adiabats corresponding to the polarizations  $S_1$  and  $S_2$  ( $S_1 < S_2$ ;  $S_1, S_2 < 0$ ). Positive net work production is obtained by going anticlockwise. The directions of work and heat flows along each branch are indicated.

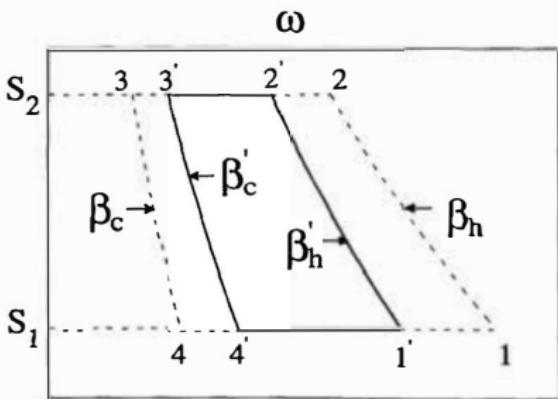


FIG. 5. The cycle  $1' \rightarrow 2' \rightarrow 3' \rightarrow 4' \rightarrow 1'$  is of the Curzon-Ahlborn

Heisenberg picture, reads as follows:

$$\dot{\mathbf{X}} = i[\mathbf{H}, \mathbf{X}] + \frac{\partial \mathbf{X}}{\partial t} + \mathcal{L}_D(\mathbf{X}),$$

$$\mathcal{L}_D(\mathbf{X}) = \sum_{\alpha} \gamma_{\alpha} (\mathbf{V}_{\alpha}^{\dagger} [\mathbf{X}, \mathbf{V}_{\alpha}] + [\mathbf{V}_{\alpha}^{\dagger}, \mathbf{X}] \mathbf{V}_{\alpha}).$$

Adiabatic approach

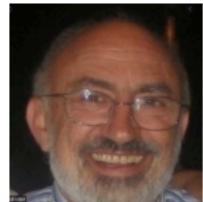
No coherence considered

# *Carnot cycle: The isotherms*

## The Problem:

Derive a dynamical description for a driven system coupled to a bath beyond the adiabatic limit:

$$\hat{H} = \hat{H}_S(t) + \hat{H}_B + \hat{H}_{SB}$$



Peter Salamon

# Carnot cycle: The isotherms

$$[\hat{H}_S(t), \hat{H}_S(t')] \neq 0$$

## The task: Isothermal Dynamics

Starting from a thermal initial state  $\hat{\rho}_i = e^{-\beta \hat{H}_i}$

Transform as fast and accurate to the state:  $\hat{\rho}_f = e^{-\beta \hat{H}_f}$

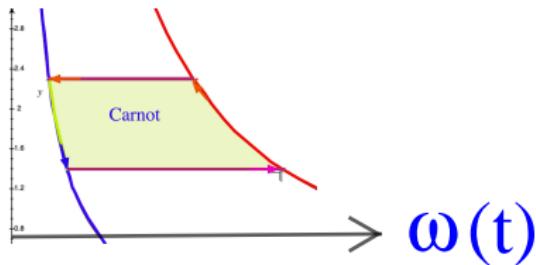
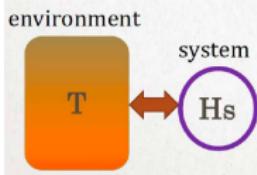
while the system is in contact with a bath of temperature  $T = 1/k\beta$

The protocol:  $\hat{H}_S(t)$  with  $\hat{H}_S(0) = \hat{H}_i$  and  $\hat{H}_S(t_f) = \hat{H}_f$

## The Problem

We can control directly  $\hat{H}_S(t)$  but only indirectly the relaxation rate.

We need the dissipative equation of motion with a time dependent  $\hat{H}_S(t)$  with a time dependent protocol.



# The adiabatic stroke: Quantum version

The propagator  $\Lambda_{hc}$  is Unitary

$$\frac{\partial}{\partial t} \hat{\rho} = -\frac{i}{\hbar} [\hat{H}, \hat{\rho}]$$

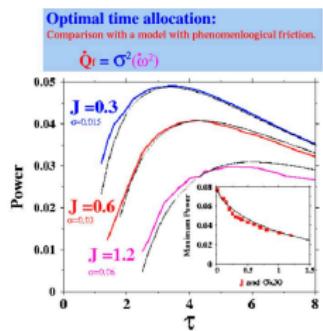
## Control Hamiltonian of the working medium

$$\hat{H}(t) = \hat{H}_{int} + \hat{H}_{cont}(t)$$

Then:  $[\hat{H}(t), \hat{H}(t')] \neq 0$  and **coherence** is generated.

Generating **coherence** cost **work**.

What is required for a realistic model?



Quantum origin of **friction**: generating **coherence**

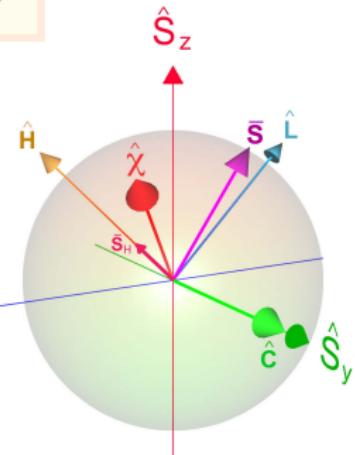
# Qubit basics

**HHamiltonian**  $\hat{H}_S(t) = \omega(t)\hat{\mathbf{S}}_z + \varepsilon(t)\hat{\mathbf{S}}_x$  ,

$$\hbar\Omega(t) = \hbar\sqrt{\omega^2 + \varepsilon^2} \quad ,$$

**State**  $\hat{\rho} = \frac{1}{2}\hat{\mathbf{I}} + \frac{2}{\hbar^2} \left( \langle \hat{S}_x \rangle \hat{\mathbf{S}}_x + \langle \hat{S}_y \rangle \hat{\mathbf{S}}_y + \langle \hat{S}_z \rangle \hat{\mathbf{S}}_z \right)$  .

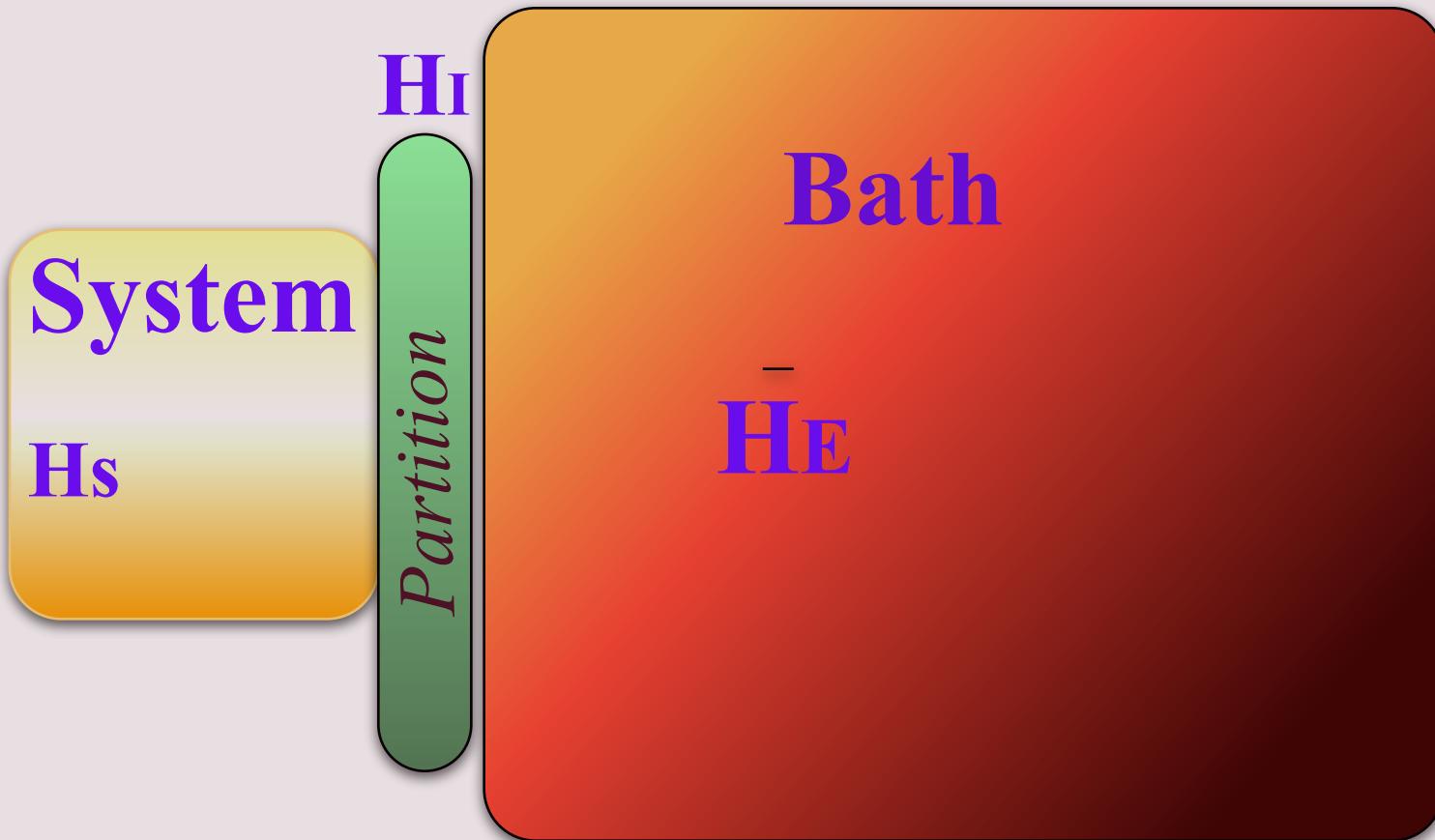
**time dependent set**  $\hat{\mathbf{H}} = \omega(t)\hat{\mathbf{S}}_z + \varepsilon(t)\hat{\mathbf{S}}_x$   
 $\hat{\mathbf{L}} = \varepsilon(t)\hat{\mathbf{S}}_z - \omega(t)\hat{\mathbf{S}}_x$   
 $\hat{\mathbf{C}} = \Omega(t)\hat{\mathbf{S}}_y$  .



**sstate**  $\hat{\rho} = \frac{1}{2}\hat{\mathbf{I}} + \frac{2}{(\hbar\Omega)^2} \left( \langle \hat{H} \rangle \hat{\mathbf{H}} + \langle \hat{L} \rangle \hat{\mathbf{L}} + \langle \hat{C} \rangle \hat{\mathbf{C}} \right)$  ,

**coherence**  $\mathcal{C} = \frac{1}{\hbar\Omega} \sqrt{\langle \hat{L} \rangle^2 + \langle \hat{C} \rangle^2}$  ,

# Quantum partition

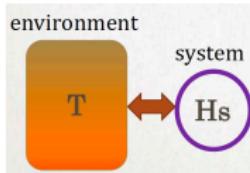


$$H = H_s + H_I + H_E$$

$$U = e^{-iHt}$$

# Inserting dynamics into thermodynamics

The global Hamiltonian:



$$\hat{H} = \hat{H}_S + \hat{H}_E + \hat{H}_{SE}$$

$$\hat{\rho}_f = \hat{\mathbf{U}} \hat{\rho}_i \hat{\mathbf{U}}^\dagger = \mathcal{U}_t \hat{\rho}_i \quad \mathcal{U}_t = e^{-i[\hat{H}, \bullet]t}$$

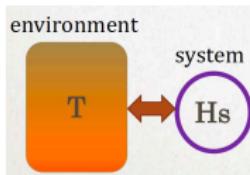
Reduced description:

$$\hat{\rho}_S(t) = \Lambda_t \hat{\rho}_S(0) \quad \Lambda_t = e^{\mathcal{L}t}$$

In a differential form:

$$\frac{\partial}{\partial t} \hat{\rho}_S = \mathcal{L} \hat{\rho}_S$$

# The theory of open quantum systems



The **quantum** Markovian Master Equation .

A completely positive map:

Kraus 1971

$$\Lambda \hat{\rho} = \sum_j \hat{W}_j^\dagger \hat{\rho} \hat{W}_j,$$



G. Lindblad

$$\text{where } \sum_j \hat{W}_j^\dagger \hat{W}_j = \hat{I}$$

The Gorini-Kossakowski-Lindblad-Sudarshan (GKLS) quantum Master equation 1975

$$\frac{d}{dt} \hat{\rho} = -\frac{i}{\hbar} [\hat{H}, \hat{\rho}] + \frac{1}{2} \sum_j ([\hat{V}_j \hat{\rho}, \hat{V}_j^\dagger] + [\hat{V}_j, \hat{\rho} \hat{V}_j^\dagger]) \equiv -\frac{i}{\hbar} [\hat{H}, \hat{\rho}] + \mathcal{L} \hat{\rho}.$$

*System and bath are in tensor product form in all times* Lindblad 1996

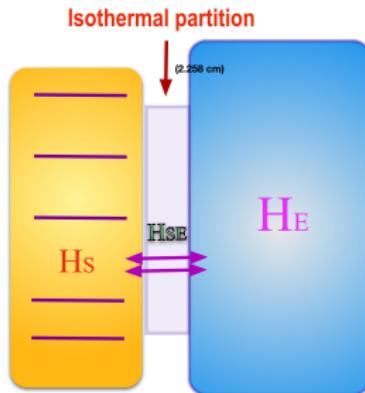
## Thermodynamic restriction

- ➊ The dynamical map  $\Lambda_t$  is Markovian, satisfying the semi-group property.
- ➋ The environment remains in a stationary state with respect to the environment's free Hamiltonian  $\hat{H}_E$ .
- ➌ The fixed point of the dynamical map is a thermal state  $\hat{\rho}_S^{eq} = \hat{\rho}_S^{th} = Z_S^{-1} e^{-\beta \hat{H}_S}$ , where  $Z_S$  is the partition function and  $\beta$  is the inverse temperature of the environment.
- ➍ The composite system satisfies *strict energy conservation* between the system and environment:  $[\hat{H}_{SE}, \hat{H}_S + \hat{H}_E] = 0$ .

## Isothermal partition

Energy is not accumulated on the interface  
**Strict energy conservation**

$$[\hat{H}_{SE}, \hat{H}_S + \hat{H}_E] = 0$$



The free propagator and the dynamical map commute

$$[\mathcal{H}_S, \mathcal{L}] = 0$$

Time translation  
Dynamical symmetry  
Emmy Noether

$$\mathcal{D}[\bullet] = \sum_k \gamma_k \left( \hat{L}_k \bullet \hat{L}_k^\dagger - \frac{1}{2} \{ \hat{L}_k^\dagger \hat{L}_k, \bullet \} \right) ,$$

Lindblad jump operators are eigenoperators of the free dynamics

# Open driven quantum system dynamics

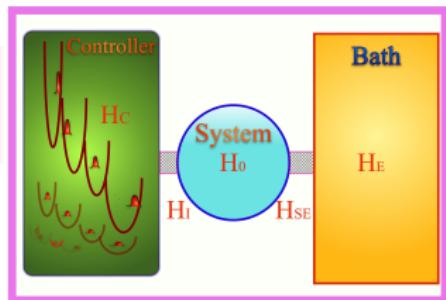
Unitary evolution of the Universe.

$$\hat{H} = \hat{H}_S + \hat{H}_C + \hat{H}_{SC} + \hat{H}_{SE} + \hat{H}_E ,$$

Generating a propagator  $\mathcal{U} = \exp(-i[\hat{H}, \bullet]t)$

Initial tensor product:  $\hat{\rho}(0) = \hat{\rho}_S(0) \otimes \hat{\rho}_E(0) \otimes \hat{\rho}_C(0)$

Reduced description:



$$\hat{\rho}_S(t) = \Lambda_t [\hat{\rho}_S(0)] = \text{tr}_{E,C} (\hat{U}(t,0) \hat{\rho}_S(0) \otimes \hat{\rho}_E(0) \otimes \hat{\rho}_C(0) \hat{U}^\dagger(t,0))$$

Imposing semigroup property

The controller is not stationary

$$\Lambda_t = \Lambda_{t-s} \Lambda_s$$

Semi-group generator  $\mathcal{L}(t)$

$$\mathcal{L}(t) = \lim_{\Delta t \rightarrow 0} \frac{\Lambda(t + \Delta t) - \mathcal{I}}{\Delta t}$$

# Open driven quantum system dynamics

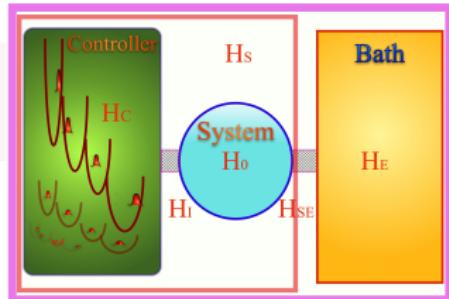
Unitary evolution of the Universe.

$$\hat{H} = \boxed{\hat{H}_S + \hat{H}_C + \hat{H}_{SC}} + \hat{H}_{SE} + \hat{H}_E ,$$

Generating a propagator  $\mathcal{U} = \exp(-i[\hat{H}, \bullet]t)$

Initial tensor product:  $\hat{\rho}(0) = \hat{\rho}_S(0) \otimes \hat{\rho}_E(0) \otimes \hat{\rho}_C(0)$

Reduced description:



$$\hat{\rho}_S(t) = \Lambda_t [\hat{\rho}_S(0)] = \text{tr}_{E,C} (\hat{U}(t,0) \hat{\rho}_S(0) \otimes \hat{\rho}_E(0) \otimes \hat{\rho}_C(0) \hat{U}^\dagger(t,0))$$

Imposing semigroup property

The controller is not stationary

$$\Lambda_t = \Lambda_{t-s} \Lambda_s$$

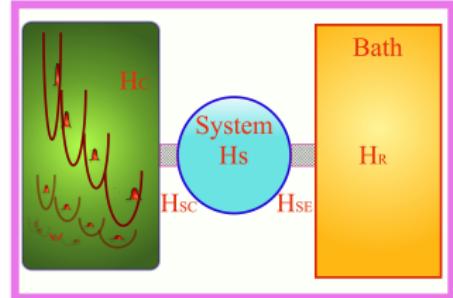
Semi-group generator  $\mathcal{L}(t)$

$$\mathcal{L}(t) = \lim_{\Delta t \rightarrow 0} \frac{\Lambda(t + \Delta t) - \mathcal{I}}{\Delta t}$$

# Autonomous drive

Which means that

$$[\tilde{\mathcal{U}}_{SC}, \mathcal{U}] = 0$$



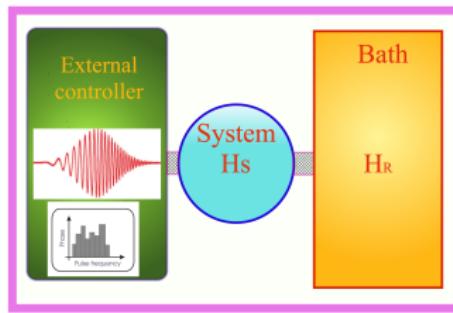
and

$$[\tilde{\mathcal{U}}_{SC}, \Lambda] = 0$$

# External drive

Which also leads to:

$$[\tilde{\mathcal{U}}_S(t), \Lambda] = 0$$



The eigenoperators of  $\tilde{\mathcal{U}}_S(t)$  will constitute the Lindblad jump operators when the system is externally driven.  $\hat{H}_S(t)$

## The Non-Adiabatic Master Equation (NAME)

The system is driven:

$$\hat{H}(t) = \hat{H}_S(t) + \hat{H}_B + \hat{H}_{SB} . \quad [\hat{H}_{SB}, \hat{H}(t)] = 0$$

We still obtain from **I-law**:

$$[\Lambda, \mathcal{U}_S(t)] = 0$$

where  $\mathcal{U}_S(t) = \mathcal{T} e^{-\frac{i}{\hbar} \int^t [\hat{H}_S(t'), \bullet] dt'}$

The time dependent dissipator:

$$\mathcal{L}_D(\hat{\rho}_S) = \sum_k \gamma_k(t) \left( \hat{F}_j(t) \hat{\rho}_S \hat{F}_j^\dagger(t) - \frac{1}{2} \{ \hat{F}_j^\dagger(t) \hat{F}_j(t), \hat{\rho}_S \} \right) .$$

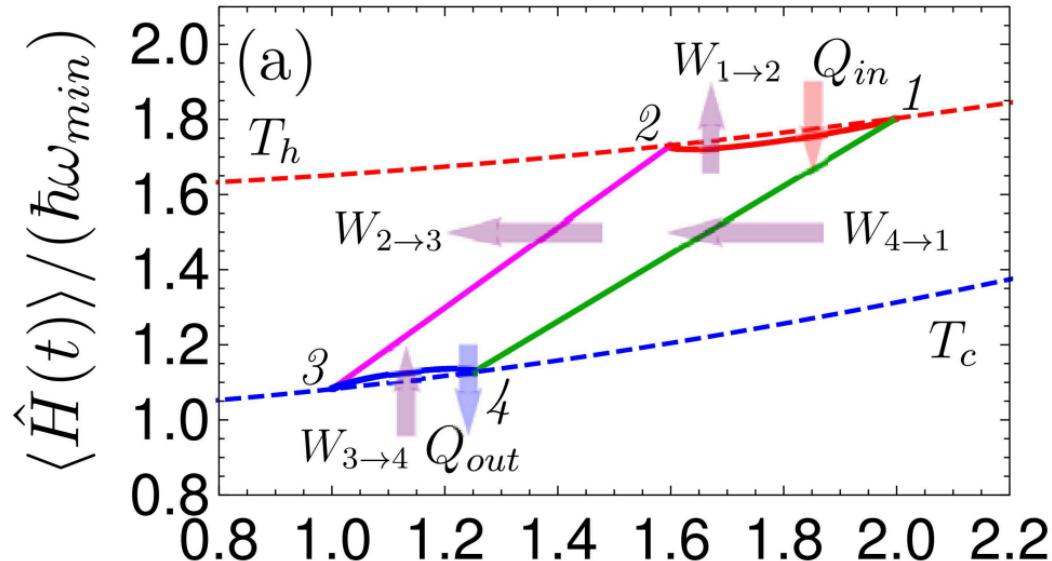
The Lindblad jump operators are eigenoperators

of the free dynamics  $\mathcal{U}_S(t) \hat{F}_k(t) = e^{-i\theta_k(t)} \hat{F}_k(t)$ .

The fixed point becomes an instantaneous attractor:

$$\mathcal{L}_D(t)(\hat{F}_I(t)) = 0$$

# At last: Shortcut to four stroke Carnot cycle

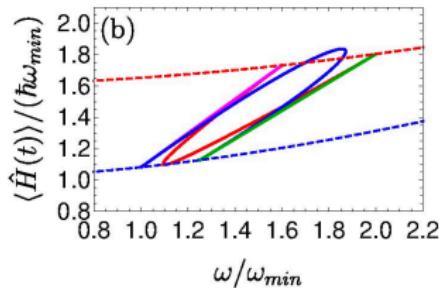


Carnot cycle:

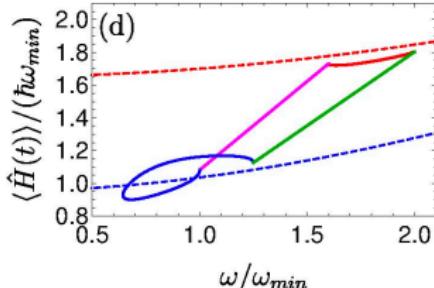
$$\Lambda_{cyc} = \Lambda_h \Lambda_{ch} \Lambda_c \Lambda_{hc}$$

# Performance of Shortcut to Carnot

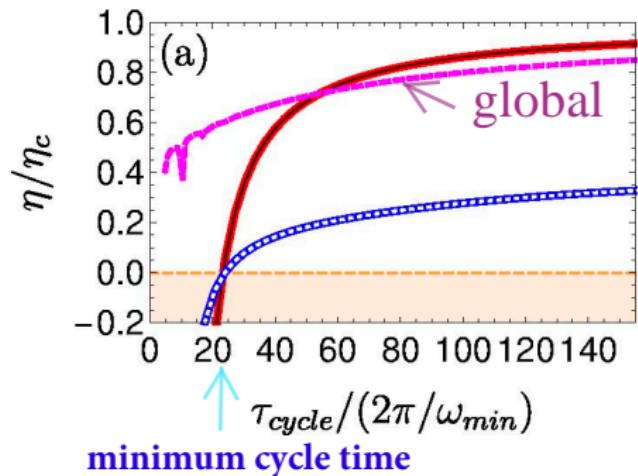
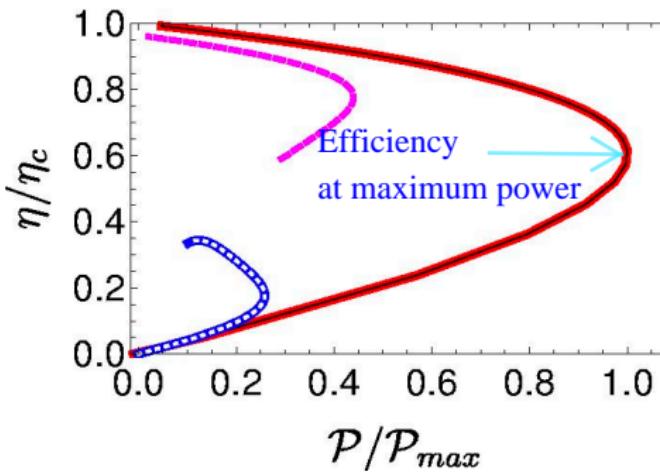
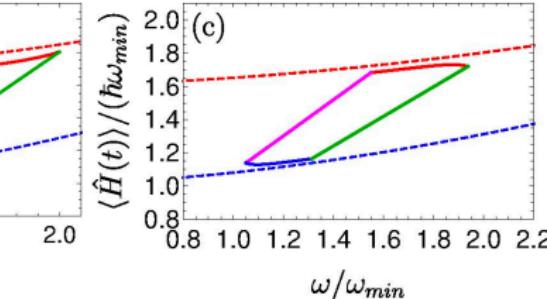
Shortcut fast



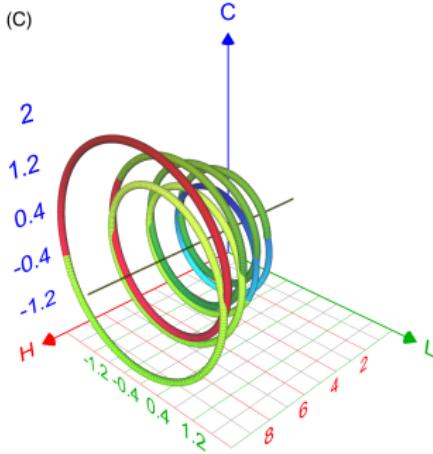
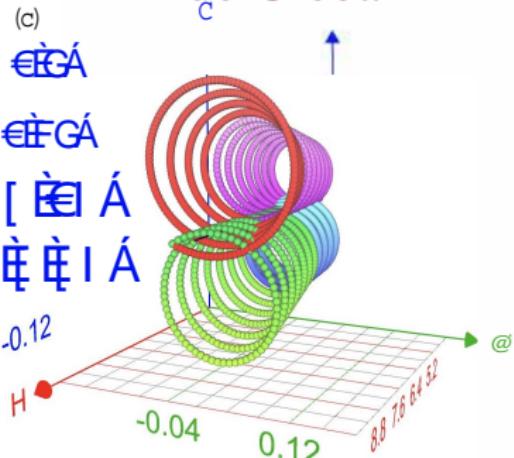
Shortcut Endo



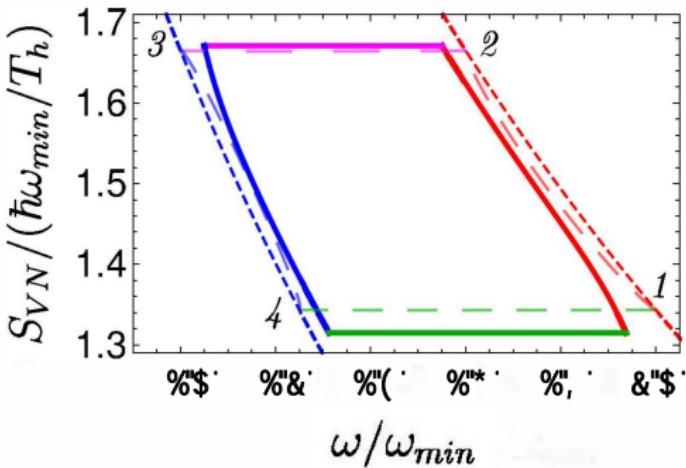
Endo slow global



# Endo Global

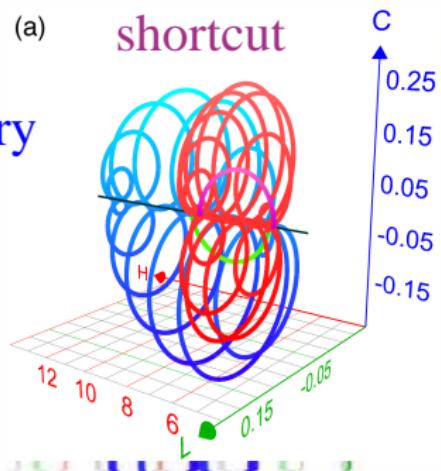


Cycle trajectory



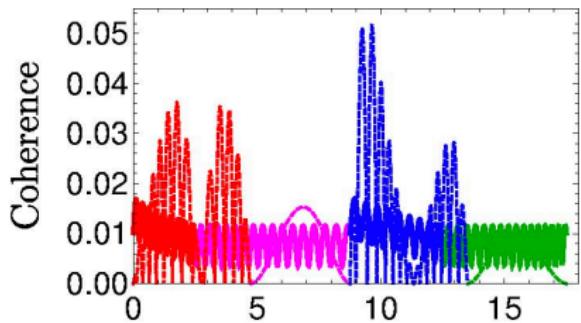
(a)

shortcut



## Quantum equivalence

The propagator:  $\mathcal{U} = e^{\mathcal{L}t}$



Four stroke cycle propagator:

$$t/(2\pi/\omega_{min})$$

$$\mathcal{U}_{cyc} = \mathcal{U}_c \mathcal{U}_{hc} \mathcal{U}_h \mathcal{U}_{ch} = e^{\mathcal{L}_c t} e^{\mathcal{L}_{hc} t} e^{\mathcal{L}_h t} e^{\mathcal{L}_{ch} t}$$

In the limit of small action:  $s = ||\mathcal{L}t|| \ll \hbar$

$$\mathcal{U}_{cyc} = e^{\mathcal{L}_c t/2} e^{\mathcal{L}_{hc} t} e^{\mathcal{L}_h t} e^{\mathcal{L}_{ch} t} e^{\mathcal{L}_c t/2}$$

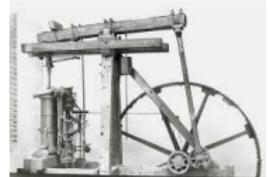
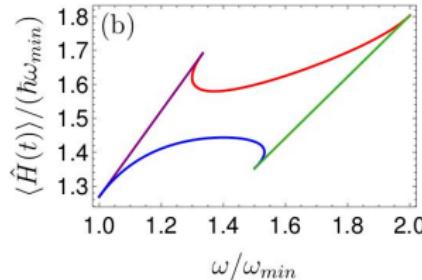
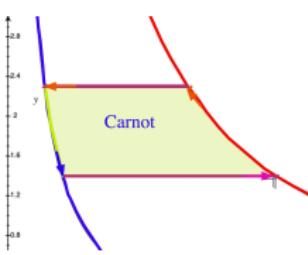
$$\mathcal{U}_{cyc} \approx e^{(\mathcal{L}_c + \mathcal{L}_{hc} + \mathcal{L}_h + \mathcal{L}_{ch})t} + O(s^3)$$

# The Voyage:

Seeking for quantum open system description of the Carnot cycle

- Non Adiabatic Master Equation **NAME**.
- The **inertial theorem**.
- Shortcuts to non unitary maps with **entropy change**.
- Finite time quantum **Carnot cycle**.

Quantum signature!



# Quantum control of open systems



Roie Dann



Shimshon Kallush



Amikam Levy



David annor



Allon artana



Ander obalina



Christiane Koch

Thank  
you



The end

Thank you

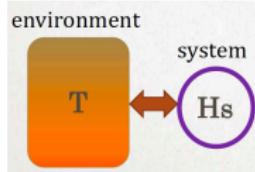


# Dynamical equations consistent with Thermodynamics .

## Isothermal Partition .

$$\hat{H} = \hat{H}_S + \hat{H}_B + \hat{H}_{SB}$$

$$[\hat{H}_{SB}, \hat{H}] = 0$$



Reduced description:

$$\Lambda_s \hat{\rho}_S = \sum_j \hat{K}_j \hat{\rho}_S \hat{K}_j^\dagger$$

**0- law:** The fixed point of the map is a Gibbs state:

$$\Lambda_s \hat{\rho}_S(eq) = \hat{\rho}_S(eq) = \frac{1}{Z} e^{-\beta \hat{H}_S} \quad \text{where } \beta = 1/k T_B$$

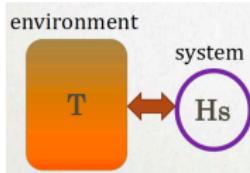
**1-law** conservation of energy  $dE_S = -dE_B$

This implies:  $[\Lambda_s, \mathcal{U}_S] = 0$  where  $\mathcal{U}_S = e^{-\frac{i}{\hbar} [\hat{H}_S, \bullet] t}$ .

**2-law** Contraction:

$$\mathcal{D}(\Lambda(\hat{\rho}_S) | \Lambda(\hat{\rho}_S(eq))) \leq \mathcal{D}(\hat{\rho}_S | \hat{\rho}_S(eq))$$

# The GKLS Master Equation



$$\frac{d}{dt} \hat{\rho}_S(t) = -i[\hat{H}_S(t), \hat{\rho}_S] + \mathcal{L}_D(\hat{\rho}_S)$$

$$\mathcal{L}_H(\hat{\rho}_S) = -i[\hat{H}_S(t), \hat{\rho}_S]$$

$$\mathcal{L}_D(\hat{\rho}_S) = \sum_k \gamma_k \left( \hat{F}_j \hat{\rho}_S \hat{F}_j^\dagger - \frac{1}{2} \{ \hat{F}_j^\dagger \hat{F}_j, \hat{\rho}_S \} \right)$$



From the **I-law**:  $[\mathcal{L}_H, \mathcal{L}_D] = 0$ , this implies that G. Lindblad  
 $\hat{F}_k$  are common eigenoperators of  $\mathcal{L}_H$  and  $\mathcal{L}_D$ .

$$\mathcal{L}_H(\hat{F}_k) = i\omega_k \hat{F}_k \quad \mathcal{L}_D(\hat{F}_k) = \gamma_k \hat{F}_k$$

when  $\omega_k = 0$   $\hat{F}_k$  is an invariant of the unitary dynamics.

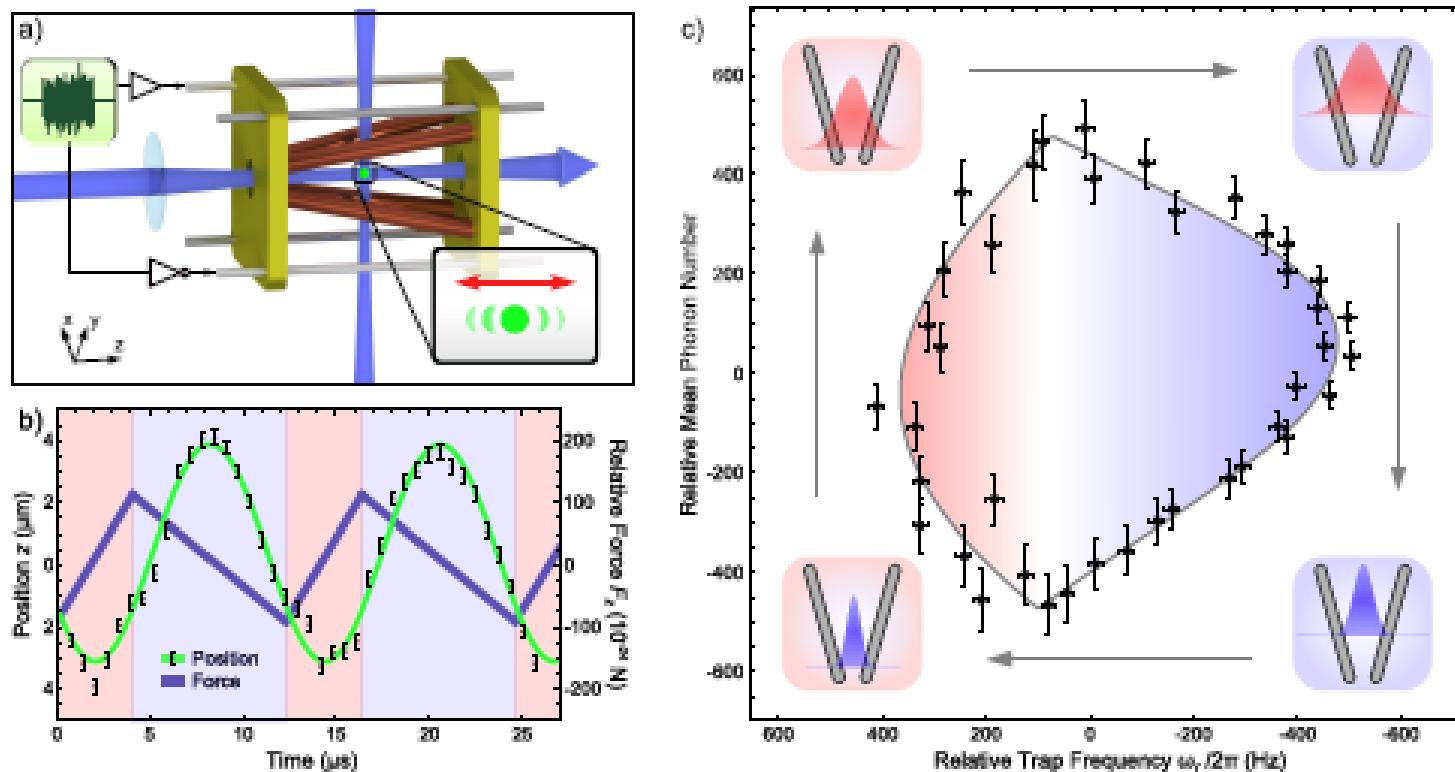
For  $\gamma_l = 0$   $\hat{F}_l$  is an invariant a fixed point  $\hat{F}_l = \frac{1}{Z} e^{-\beta \hat{H}_S}$   
 for  $k \neq l$ ,  $\hat{F}_k$  are Lindblad jump operators.



## A single-atom heat engine

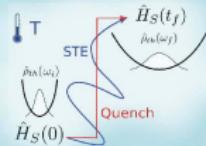
Johannes Roßnagel,<sup>1,\*</sup> Samuel Thomas Dawkins,<sup>1</sup> Karl Nicolas Tolazzi,<sup>1</sup>  
Obinna Abah,<sup>2</sup> Eric Lutz,<sup>2</sup> Ferdinand Schmidt-Kaler,<sup>1</sup> and Kilian Singer<sup>1,3</sup>

2



# Shortcut to Equilibration (STE)

## State to state control



### The task: Isothermal Dynamics

Starting from a thermal initial state  $\hat{\rho}_i = e^{-\beta \hat{H}_i}$

Transform as fast and accurate to the state:  $\hat{\rho}_f = e^{-\beta \hat{H}_f}$

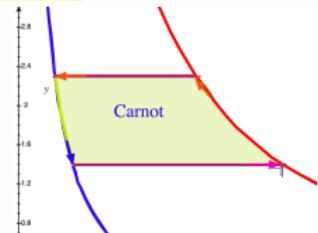
while the system is in contact with a bath of temperature  $T = 1/k\beta$

The protocol:  $\hat{H}_S(t)$  with  $\hat{H}_S(0) = \hat{H}_i$  and  $\hat{H}_S(t_f) = \hat{H}_f$

Entropy change

$$\Delta S \neq 0$$

Shortcut to Equilibration of an Open Quantum System,  
R. Dann, A. Tóbalina, and R. Kosloff, PRL 122, 250402 (2019)



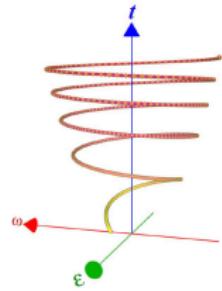
# GKLS master equation of a driven qubit

The Hamiltonian:

$$\hat{H}_S(t) = \omega(t)\hat{S}_z + \varepsilon(t)\hat{S}_x ,$$

Define the Liouville time dependent vector

$$\vec{v}(t) = \{\hat{H}_S(t), \hat{L}(t), \hat{C}(t)\}^T .$$



$\hat{L}(t) = \varepsilon(t)\hat{S}_z - \omega(t)\hat{S}_x$       **ime dependent operator base**  
and  $\hat{C}(t) = \bar{\Omega}(t)\hat{S}_y$ ,

Rabi frequency  $\bar{\Omega}(t) = \sqrt{\omega^2(t) + \varepsilon^2(t)}$

The adiabatic parameter

$$\mu = \frac{\dot{\omega}\varepsilon - \omega\dot{\varepsilon}}{\Omega^3}$$

**Inertial solution**

## Solving for the free propagator $\mathcal{U}_S(t)$

$$\frac{1}{\Omega} \frac{d}{dt} \begin{pmatrix} \hat{\mathbf{H}}(t) \\ \hat{\mathbf{L}}(t) \\ \hat{\mathbf{C}}(t) \end{pmatrix} = \left( \begin{pmatrix} 0 & \mu & 0 \\ -\mu & 0 & 1 \\ 0 & -1 & 0 \end{pmatrix} + \frac{\dot{\Omega}}{\Omega^2} \hat{I} \right) \begin{pmatrix} \hat{\mathbf{H}}(t) \\ \hat{\mathbf{L}}(t) \\ \hat{\mathbf{C}}(t) \end{pmatrix},$$

$\mathcal{U}_S(t) = \mathcal{U}_1(t) \mathcal{U}_2(t)$ , where  $\mathcal{U}_1(t) = \frac{\Omega(t)}{\Omega(0)} \hat{I}$

$$\mathcal{U}_2(t) = \frac{1}{\kappa^2} \begin{pmatrix} 1 + \mu^2 c & \kappa \mu s & \mu(1 - c) \\ -\kappa \mu s & \kappa^2 c & \kappa s \\ \mu(1 - c) & -\kappa s & \mu^2 + c \end{pmatrix},$$

where  $\kappa = \sqrt{1 + \mu^2}$  and  $s = \sin(\kappa\theta)$ ,  $c = \cos(\kappa\theta)$  and  $\theta(t) = \int_0^t \Omega(t') dt'$ .

Roie Dann and Ronnie Kosloff,

Inertial Theorem: Overcoming the quantum adiabatic limit,  
*Phys. Rev. Research*, **3**, 013064 (2021). [\[pdf\]](#)

## The eigenoperators of $\mathcal{U}_S(t)$

The eigenoperators of the free propagators which constitute the eigenoperators of  $\mathcal{D}(t)$ :

$$\hat{\mathbf{F}}_k = \{\hat{\chi}, \hat{\sigma}, \hat{\sigma}^\dagger\}$$

$$\hat{\chi}(\mu, 0) = \frac{1}{\kappa \bar{\Omega}(0)} (\hat{\mathbf{H}}_S(0) + \mu \hat{\mathbf{C}}(0))$$

$$\hat{\sigma}(\mu, 0) = \frac{1}{2\kappa^2 \bar{\Omega}(0)} (-\mu \hat{\mathbf{H}}_S(0) - i\kappa \hat{\mathbf{L}}(0) + \hat{\mathbf{C}}(0))$$

and  $\hat{\sigma}^\dagger(\mu, 0)$ ,

with corresponding eigenvalues

$\lambda_1 = 0$ ,  $\lambda_2(t) = i\Omega\kappa(\mu(t))$  and  $\lambda_3(t) = -i\Omega\kappa(\mu(t))$ ,  
where

$$\kappa(\mu(t)) = \sqrt{1 + \mu^2(t)} .$$

# The Non-Adiabatic Master Equation (NAME) for the qubit

Exigenoperators of  $\mathcal{U}_S(t)$  in the  $\{\hat{\mathbf{H}}, \hat{\mathbf{L}}, \hat{\mathbf{C}}\}$  basis:

$$\begin{aligned}\hat{\chi}(t) &= \frac{\sqrt{2}}{\kappa\hbar\Omega} (\hat{\mathbf{H}} + \mu\hat{\mathbf{C}}) \\ \hat{\sigma}(t) &= \frac{1}{\kappa\hbar\Omega} (-\mu\hat{\mathbf{H}} - i\kappa\hat{\mathbf{L}} + \hat{\mathbf{C}})\end{aligned},$$

where  $\kappa = \sqrt{1 + \mu^2}$

$$\frac{d}{dt}\tilde{\rho} = \mathcal{L}(t)[\tilde{\rho}] = k_{\downarrow}(\alpha(t)) \left( \hat{\sigma}\tilde{\rho}(t)\hat{\sigma}^\dagger - \frac{1}{2}\{\hat{\sigma}^\dagger\hat{\sigma}, \tilde{\rho}(t)\} \right) +$$

GKLS form

$$k_{\uparrow}(\alpha(t)) \left( \hat{\sigma}^\dagger\tilde{\rho}(t)\hat{\sigma} - \frac{1}{2}\{\hat{\sigma}\hat{\sigma}^\dagger, \tilde{\rho}(t)\} \right).$$

$$\alpha(t) = \kappa(t)\Omega(t) = \sqrt{1 + \mu(t)^2}\Omega(t)$$

effective frequency

$$\frac{k_{\uparrow}}{k_{\downarrow}} = e^{-\frac{\hbar\alpha(t)}{kT}}$$

The end

Thank you



## The entropy production

The attractor can be expressed in the Gibbs form

$$\tilde{\rho}_{i.a}(t) = Z^{-1} e^{-\frac{\hbar\alpha(t)\hat{\chi}}{\sqrt{2}k_B T}} ,$$

$\Delta S \neq 0$

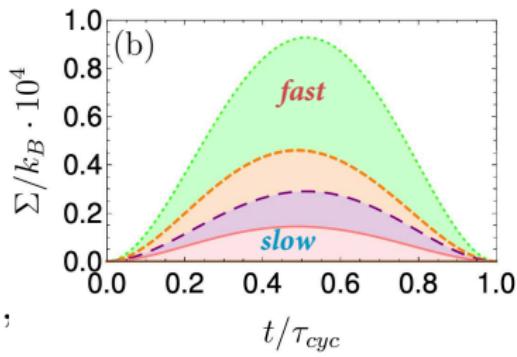
where  $Z = \text{tr} \left( e^{-\hbar\alpha\hat{\chi}/\sqrt{2}k_B T} \right)$

$$\Sigma^u \equiv -\frac{d}{dt} \mathcal{D}(\hat{\rho}|\hat{\rho}_{i.a}) = -k_B \text{tr} \left( \tilde{\mathcal{L}}[\tilde{\rho}] \ln \tilde{\rho} \right) + k_B \text{tr} \left( \tilde{\mathcal{L}}[\tilde{\rho}] \ln \tilde{\rho}_{i.a} \right)$$

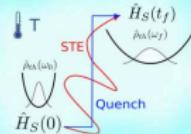
Thermodynamic forces  $\mathcal{F}_I$  and :  $\mathcal{F}_\chi = \frac{1}{T_\chi} - \frac{1}{T}$ , where  
 $T_\chi = \frac{\hbar\alpha}{\sqrt{2}k_B \beta}$ ,  $\mathcal{F}_{\sigma_x} = \frac{k_B \bar{\gamma}_x}{\hbar\alpha}$  and  $\mathcal{F}_{\sigma_y} = \frac{k_B \bar{\gamma}_y}{\hbar\alpha}$  then:

$$\Sigma^u = \sum_{I=\chi, \sigma_x, \sigma_y} \mathcal{F}_I \mathcal{J}_I ,$$

$$\begin{aligned} \mathcal{J}_\chi &= -\frac{\hbar\alpha\Gamma}{\sqrt{2}} (\langle \hat{\chi} \rangle - \langle \hat{\chi}_{i.a} \rangle) \\ \mathcal{J}_{\sigma_x} &= -\frac{\hbar\alpha\Gamma}{2} \langle \hat{\sigma}_x \rangle ; \quad \mathcal{J}_{\sigma_y} = -\frac{\hbar\alpha\Gamma}{2} \langle \hat{\sigma}_y \rangle , \end{aligned}$$



## The cost of shortcuts $\mathcal{W}$ , $S_U$



## Shortcuts to Equilibrium (STE)

Starting on the energy shell:

$$\langle \hat{H} \rangle \neq 0, \langle \hat{L} \rangle = 0, \langle \hat{C} \rangle = 0$$

Nonadiabatic dynamics generates coherence and requires extra work.

The coherence is dissipated generating **quantum friction**

The system entropy changes  $\Delta S_{sys} \neq 0$ .

Irreversibility is inherent  $\Delta S_U > 0$ .

**the speedup cost work and entropy production**

## Dynamics for any squeezed thermal state.

$$\begin{aligned}\dot{\beta} &= k_{\downarrow} \left( e^{\beta} - 1 \right) + k_{\uparrow} \left( e^{-\beta} - 1 + 4e^{\beta} |\gamma|^2 \right) \\ \dot{\gamma} &= (k_{\downarrow} + k_{\uparrow}) \gamma - 2k_{\downarrow} \gamma e^{-\beta} ,\end{aligned}$$

We assume that the system is in a thermal state at initial time, which infers  $\gamma(0) = 0$ . This simplifies to

$$\tilde{\rho}_S(\beta(t), \mu(t)) = \frac{1}{Z} e^{\beta \hat{b}^\dagger \hat{b}(\mu)} .$$

The system dynamics are described by

$$\dot{\beta} = k_{\downarrow}(t) \left( e^{\beta} - 1 \right) + k_{\uparrow}(t) \left( e^{-\beta} - 1 \right)$$

with initial conditions  $\beta(0) = \frac{\hbar\omega(0)}{k_B T}$  and  $\mu(0) = 0$ .