

# Derivation of the Effective Dynamics for the Bose Polaron

Université de Bourgogne  
Winter School: Physics and Mathematics of Bose-Einstein  
Condensates

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SIEGFRIED SPRUCK

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# Plan

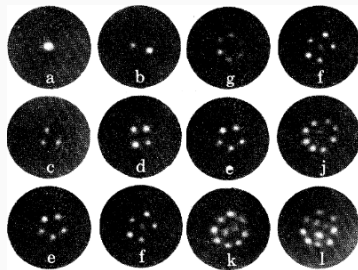
- 1 Motivation: Impurity Particles in Experiments
- 2 System Set-Up: Bose Gas in Condensation
- 3 Methods: Impurity Localization
- 4 Main Theorem: Validity Bose Polaron Dynamics

# Motivation: Impurity Particle

Quantum gas of  $N$  bosons with 1 impurity particle  $\rightarrow$  Tracer particle.

**Applications** of **impurity** systems in physics:

- **Track local structure:**  
Vortex lattice in liquid Helium.
- **Probing the density distribution** of a Bose gas [Schmid-Härter-Denschlag 10].



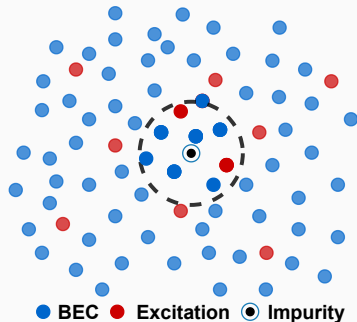
**Figure 1:** Rotating Helium with marked vortices by tracer particles [Varmchuk-Gordon-Packard 79].

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**Bose Polaron:** Quasi-particle of impurity and bosons.

Goal: Prove the existence of a Bose Polaron in our system.  
 $\rightarrow$  Effective dynamics.

## Next Step

- ① Motivation: Impurity Particles in Experiments
- ② System Set-Up: Bose Gas in Condensation
- ③ Methods: Impurity Localization
- ④ Main Theorem: Validity Bose Polaron Dynamics

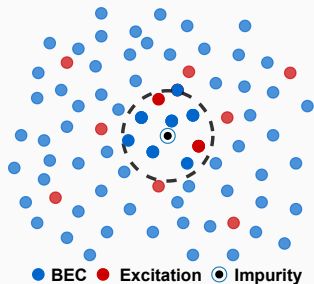
# System Set-Up

- Quantum gas of  $N$  bosons, 1 impurity particle in  $\mathbb{R}^3$ .
- Initial **volume**  $\Lambda$ , **density**  $\rho = \frac{N}{\Lambda}$  with  $\rho, \Lambda$  **large**.
- **Interactions**  $V, W \in \mathcal{S}(\mathbb{R}^3, \mathbb{R})$  **weak**, even and of range  $\mathcal{O}(1)$ :  
**Mean-field scaling.**
- Dynamics on  $L^2(\mathbb{R}_x^3) \otimes L_{\text{sym}}^2(\mathbb{R}_y^{3N})$

$$i\partial_t \psi_{N,t} = H_N \psi_{N,t},$$

$$H_N = - \sum_{i=1}^N \frac{\Delta_{y_i}}{2} - \frac{\Delta_x}{2m} + \frac{1}{\rho} \sum_{1 \leq i < j \leq N} V(y_i - y_j) \\ + \frac{1}{\sqrt{\rho}} \sum_{i=1}^N W(x - y_i).$$

$x$ : Impurity position;  $y_i$ : Boson positions.



# Bose-Einstein Condensate

Complete **Bose-Einstein condensation**

if almost all bosons in same state:

$$\psi_{N,t}(y_1, \dots, y_N) \sim \prod_{i=1}^N \varphi_t(y_i),$$

for large  $\rho$ ,  $\varphi_t \in L^2(\mathbb{R}^3)$  a **one-particle state**.

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Time evolution of **condensate**  $\varphi_t$ :

$$i\partial_t \varphi_t(y) = \left( -\frac{\Delta}{2} + V \star |\varphi_t|^2(y) - \underbrace{\mu_t}_{\in \mathbb{R}} \right) \varphi_t(y) \quad \text{(Hartree-eq)},$$

$$\varphi_{t=0} = \varphi_0.$$

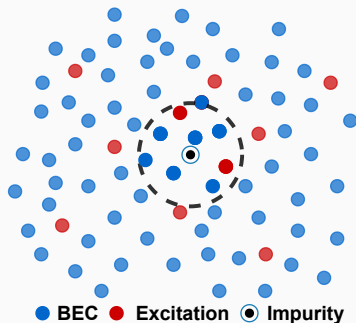


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The **condensate**  $\varphi_t$  defines a **background/environment** for the **excitation dynamics** we are actually interested in.

# Excitations

We call  $\zeta \in \{\varphi_t\}^\perp \subset L^2(\mathbb{R}^3) = \text{lin}\{\varphi_t\} \oplus \{\varphi_t\}^\perp$  an **excitation** out of the condensate. These excitations can emerge and disappear. Define  $U_t$ , **isometry**, mapping into the **excitation space**  $\mathcal{F}(\{\varphi_t\}^\perp)$

$$U_t : L_{\text{sym}}^2(\mathbb{R}^{3N}) \rightarrow \mathcal{F}(\{\varphi_t\}^\perp) = \bigoplus_{k=0}^{\infty} (\{\varphi_t\}^\perp)^{\otimes_s k}$$
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$U_t \psi_{N,t}$ : excitation part of the wave function evolved by

$$i\partial_t U_t \psi_{N,t} = H^{\text{ex}} U_t \psi_{N,t},$$

$$H^{\text{ex}} = U_t H_N U_t^* + i(\partial_t U_t) U_t^* \quad (\text{Excitation Hamiltonian}).$$

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Our setting: System exhibits **Bose-Einstein condensation** with **few excitations**.

# Bose Polaron

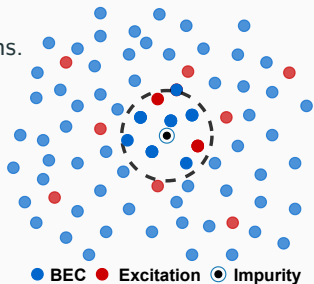
**Bose Polaron:** Quasi-particle of impurity and bosons. It is described by effective dynamics generated by **Bogoliubov-Fröhlich** Hamiltonian  $H^{\text{BF}}$

$$H^{\text{BF}} = H^{\text{Bog}} - \frac{\Delta_x}{2m} + a(Q_t W_x \varphi_t) + a^*(Q_t W_x \varphi_t),$$

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on  $L^2(\mathbb{R}_x^3) \otimes \mathcal{F}(\{\varphi_t\}^\perp)$ .

$H^{\text{Bog}} \sim d\Gamma(\xi)$  Bogoliubov Hamiltonian, modelling free excitations.  $Q_t$  projects into  $\{\varphi_t\}^\perp$ .  $a^\#(Q_t W_x \varphi_t)$  **creates or annihilates excitation** due to interaction of impurity with condensate.



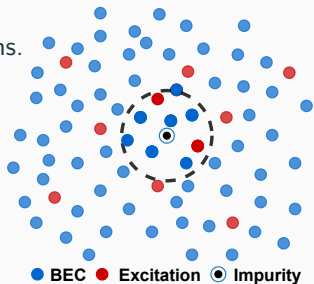
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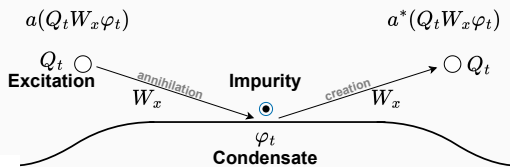
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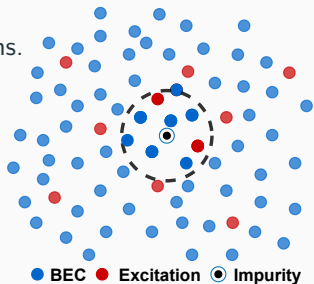
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Importance of the **Bogoliubov-Fröhlich** Hamiltonian  $H^{\text{BF}}$ :

- Its validity proves the **formation** of the **Bose Polaron**.
- We started with Schrödinger's equation and now consider a QFT of **matter** (impurity) interacting with a **field of excitations**.
- It **simplifies** the **dynamics**: “Free” quantum field interacting with matter.

## Next Step

- ① Motivation: Impurity Particles in Experiments
- ② System Set-Up: Bose Gas in Condensation
- ③ **Methods: Impurity Localization**
- ④ Main Theorem: Validity Bose Polaron Dynamics



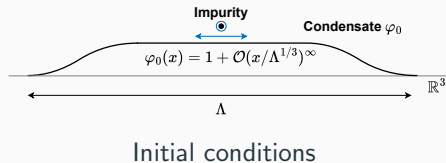
# Methods Overview

$$\underbrace{H^{\text{ex}}}_{\text{Full excitation dynamics}} = \underbrace{H^{\text{BF}}}_{\text{Polaron dynamics}} + 1/\sqrt{\rho} \cdot \text{error} + \underbrace{\sqrt{\rho}W \star |\varphi_t|^2(x)}_{\text{Mean-field impurity-condensate interaction}}.$$

We want to show

$$H^{\text{ex}} \xrightarrow{\Lambda, \rho \rightarrow \infty} H^{\text{BF}}.$$

- Control **excitation number**  
→  $(1/\sqrt{\rho} \cdot \text{error})$  small.
- Control **impurity localization** and  $\varphi_t$  remains **flat around the origin**  
→  $\sqrt{\rho}W \star |\varphi_t|^2(x) \sim \sqrt{\rho}W \star 1.$



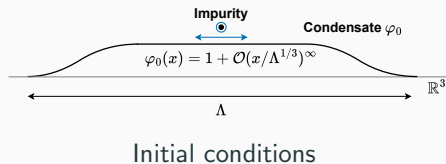
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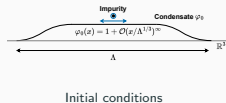


# Impurity Localization

**Goal:** Prove **impurity remains** in the **bulk** of the condensate:

$\forall T \geq 0, M \in \mathbb{N}_0 \exists C_M > 0$  such that for all densities  $\rho \geq 1$ , volumes  $\Lambda \geq 1$

$$\sup_{t \in [-T, T]} \left\| \underbrace{|x|^{2M}}_{\text{Impurity position}} \cdot \underbrace{\psi_t^{\text{BF}}}_{\text{Polaron dynamics}} \right\| \leq C_M.$$



Control **impurity position**  $x$

↓ needs

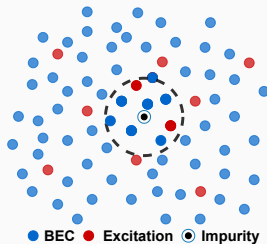
Control **kinetic energy**  $\nabla_x$

↓

Control energy gained by excitation

↓

Control **number of excitations** effectively interacting with impurity



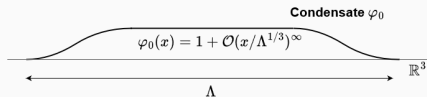
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# Main Theorem: Validity Bose Polaron Dynamics

## Theorem (Validity Bose Polaron Dynamics)

*If we have the initial conditions:*



The diagram shows a smooth, bell-shaped curve representing a condensate wavefunction  $\varphi_0$  centered on a horizontal axis labeled  $\mathbb{R}^3$ . The curve is labeled "Condensate  $\varphi_0$ ". Below the curve, a double-headed arrow indicates a characteristic length scale  $\Lambda$ . The mathematical expression for the wavefunction is given as  $\varphi_0(x) = 1 + \mathcal{O}(x/\Lambda^{1/3})^\infty$ .

Condensate  $\varphi_0$

$$\varphi_0(x) = 1 + \mathcal{O}(x/\Lambda^{1/3})^\infty$$

$\mathbb{R}^3$

$\Lambda$

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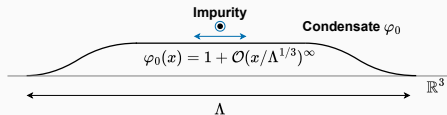
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- iii) **Impurity-localized** around origin:*

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- ii) **Excitation number  $\leq$  Volume**:  $\langle \psi_0^{\text{BF}}, (\mathcal{N}_+ + 1)^n \psi_0^{\text{BF}} \rangle \leq C_n \Lambda^n$ ,  $0 \leq n \leq 4$ .
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- iii) **Impurity-localized around origin**:  
 $\langle \psi_0^{\text{BF}}, (-\Delta_x + x^2 + U_V(\mathcal{N}_+ + 1)U_V^*)^M \psi_0^{\text{BF}} \rangle \leq C_M$ , for all  $M \geq 0$ .

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Then for  $\psi_0^{\text{ex}} = \psi_0^{\text{BF}}$  and for all times  $T \geq 0$  there exists a constant  $C > 0$  such that for all densities  $\rho \geq 1$ , volumes  $\Lambda \geq 1$

$$\sup_{t \in [-T, T]} \left\| \underbrace{e^{it(\rho^{1/2} \int W - \mu_0)} \psi_t^{\text{ex}}}_{\text{Full excitation dynamics}} - \underbrace{\psi_t^{\text{BF}}}_{\text{Polaron dynamics}} \right\|_{\mathcal{F}} \leq C \left( \frac{\Lambda^3}{\rho} \right)^{1/2}.$$

Convergence to 0 for  $\rho, \Lambda \rightarrow \infty$ , with  $\Lambda^3 \ll \rho$ . We conclude **effective description of the full microscopic dynamics through  $\mathbf{H}^{\text{BF}}$** .

- **Bose Polaron**: Quasi-particle of impurity and bosons.
- We proved the **effective description** of the **full microscopic dynamics** through the **Polaron dynamics**:

$$H^{\text{BF}} = H^{\text{Bog}} - \frac{\Delta_x}{2m} + a(Q_t W_x \varphi_t) + a^*(Q_t W_x \varphi_t).$$

- **Impurity** is **localized** in the large Bose gas.
- 
- (Ongoing) Derive effective limiting **dynamics** independent of  $\Lambda, \rho$  at **infinite volume**.

**Thank you for your attention!**

Derivation of the Bogoliubov-Fröhlich Hamiltonian from the microscopic dynamics:

**Myśliwy-Seiringer 2020: Spectrum** at low energies on the unit torus in the **mean-field scaling** (Interactions are often but weak).

**Lampart-Pickl 2022: Dynamics** on the unit torus in the **mean-field scaling**.

**Lampart-Triay 2024: Spectrum** on the unit torus in the **dilute scaling** (Interactions are rare but strong).



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**Our work extends** the results of **[Lampart-Pickl 2022]** to **large volumes** and without periodic boundary condition leading to a **non-constant condensate**.

# Generalized Initial State

**Goal:** Initial state with

- $\mathcal{O}(1)$  excitations locally (in unit volume)
- $\mathcal{O}(\Lambda)$  excitations globally (in volume  $\Lambda$ )

**Currently:**  $\psi_0^{\text{BF}}$  with  $\mathcal{O}(1)$  excitations globally  $\rightarrow$   $\mathcal{O}(1)$  excitations locally.

Needed for tracer localization! ( $\|(-\Delta_x + x^2 + \mathcal{N} + 1)^M \psi_0^{\text{BF}}\| \leq C$ )

**Solution:** Bogoliubov transformed initial state

$$U_{\mathcal{V}} \psi_0^{\text{BF}}$$

with

$$\underbrace{\|\mathcal{V}\|_{\text{op}} \leq C}_{\mathcal{O}(1) \text{ local excitations}}, \quad \underbrace{\|\mathcal{V}\mathcal{V}^* - 1\|_{\text{HS}} \leq C \cdot \Lambda}_{\mathcal{O}(\Lambda) \text{ global excitations}}.$$

Same estimates as before!

$$\|(-\Delta_x + x^2 + U_{\mathcal{V}}(\mathcal{N} + 1)U_{\mathcal{V}}^*)^M \psi_t^{\text{BF}}\| \leq C \text{ if } \psi_{t=0}^{\text{BF}} = U_{\mathcal{V}} \psi_0^{\text{BF}},$$
$$\|(-\Delta_x + x^2 + U_{\mathcal{V}}(\mathcal{N} + 1)U_{\mathcal{V}}^*)^M U_{\mathcal{V}} \psi_0^{\text{BF}}\| \leq C.$$

# Scaling

Density  $\rho \geq 1$  and  $\Lambda = \rho^\alpha$ , the gas is for all  $\alpha > 0$  dense!

- The case  $\alpha = 0$  is the standard mean-field scaling with fixed volume (e.g.,  $\Lambda = 1$ ).
- The case  $\alpha = \infty$  corresponds to the thermodynamic limit with constant density (e.g.  $\rho = 1$ ) as the volume grows.
- Our approximation is valid for  $0 < \alpha < 1/3$ .

Comparison with the  $\beta$ -scaling:

$$-\sum_i \frac{\Delta y_i}{N^{2\beta}} + N^{3\beta-1} \sum_{i,j} V(N^\beta(y_i - y_j)), \quad y_i \in [-1/2, 1/2].$$

- $\beta := \frac{\alpha}{3(1+\alpha)}$ ,  $0 < \beta < 1/4$ .